

## On the Banach Spaces with the Property $(V^*)$ of Pelczynski. - II (\*) (\*\*).

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**Summary.** – We present a converse of a result contained in our paper «On the Banach spaces with the property  $(V^*)$  of Pelczynski» so obtaining a characterization of that family of Banach spaces. Hence some extensions of other results from that note are presented. At the end we study property  $(V^*)$  in spaces of operators with compact range.

### 1. – Introduction.

Let  $E$  be a Banach space and  $X$  be a bounded set in  $E$ . We say that  $X$  is a  $(V^*)$  set if for any weakly unconditionally converging series  $\sum x_n^*$  in  $E^*$  one has

$$\lim_n \sup_X |x_n^*(x)| = 0.$$

$E$  is said to have property  $(V^*)$  if any its  $(V^*)$  subset is relatively weakly compact.

Recently, some papers have been devoted to the investigation of this class of Banach spaces ([1], [5], [6], [9], [16]). Main purpose of the present note is to continue the study started in [5] improving some results from that note as well as presenting some new facts concerning property  $(V^*)$ .

### 2. – Results about property $(V^*)$ .

First of all, we recall the following Proposition from [5]: «Assume  $E$  has the property  $(V^*)$ . Then any conjugate unconditionally converging operator from  $E^*$  into  $F^*$ ,  $F$  an arbitrary Banach space, is weakly compact». Now, we present a converse of this

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result, so obtaining a characterization of property  $(V^*)$  similar to that one obtained for property  $(V)$  by A. PELCZYNSKI in [14], when introducing both properties.

**THEOREM 1.** – *Assume any conjugate unconditionally converging operator from  $E^*$  into  $F^*$ ,  $F$  a Banach space, is weakly compact. Then  $E$  has property  $(V^*)$ .*

**PROOF.** – Let  $K$  be a  $(V^*)$  set; hence  $K$  is bounded and any its subset is again a  $(V^*)$  set. We consider an arbitrary sequence  $(x_n)$  in  $K$  and we show it is relatively weakly compact. Define  $T$  from  $E^*$  into  $l^\infty$  by  $T(x^*) = (x^*(x_n))$ . First of all we prove  $T$  is a conjugate operator. Assume  $x_\alpha^* \rightarrow \theta$  weak\*. If  $y \in l^1$ , we have to show that for each  $\eta > 0$  there is  $\alpha_0$  such that for any  $\alpha \geq \alpha_0$  we have  $|\langle y, T(x_\alpha^*) \rangle| < \eta$ . If  $y \in l^1$ , it has an expansion of the following type:  $y = \sum_{i=1}^n b_i e_i$  with  $\sum_{i=1}^n |b_i| < +\infty$ . Hence, if  $y_n = \sum_{i=1}^n b_i e_i$  we have  $\|y - y_n\| \rightarrow 0$ . Take  $n'$  such that  $\|y - y_{n'}\| < \eta/(2\|T\| \sup_x \|x_\alpha^*\|)$ . Then we have

$$\begin{aligned} |\langle y, T(x_\alpha^*) \rangle| &\leq \|y - y_{n'}\| \|T\| \sup_x \|x_\alpha^*\| + |\langle y_{n'}, T(x_\alpha^*) \rangle| \leq \eta/2 + \\ &+ \left| \sum_{i=1}^{n'} b_i x_\alpha^*(x_i) \right| \leq \eta/2 + \sum_{i=1}^{n'} |b_i| |x_\alpha^*(x_i)|. \end{aligned}$$

Now, since  $x_\alpha^* \rightarrow \theta$  weak\*, given  $\eta \left/ \left( 2 \sum_{i=1}^{\infty} |b_i| \right) \right.$  there is  $\alpha_0$  such that, for  $\alpha \geq \alpha_0$ , the following is true

$$|x_\alpha^*(x_i)| < \eta \left/ \left( 2 \sum_{i=1}^{\infty} |b_i| \right) \right.,$$

for each  $i = 1, 2, \dots, n'$ . Hence for  $\alpha > \alpha_0$  we get  $|\langle y, T(x_\alpha^*) \rangle| < \eta$ , i.e.  $T(x_\alpha^*) \rightarrow \theta$  weak\*. We are done. The second step is to show that  $T$  is unconditionally converging. Let  $\sum x_n^*$  be weakly unconditionally converging, but  $\sum T(x_n^*)$  is not unconditionally converging; hence there is a permutation  $h(n)$  on  $N$  such that  $\sum T(x_{h(n)}^*)$  doesn't converge. There exist  $\eta > 0$  and  $p_1 < q_1 < p_2 < q_2 < \dots < p_n < q_n < \dots$  such that

$$(1) \quad \left\| \sum_{i=p_n}^{q_n} T(x_{h(i)}^*) \right\| > \eta.$$

Consider, now,  $y_n^* = \sum_{i=p_n}^{q_n} x_{h(i)}^*$  and observe that  $\sum y_n^*$  is weakly unconditionally converging and so  $\sup_k |y_n^*(x_k)| \rightarrow 0$ ; but this is against (1). We are done. Hence, the operator  $S: l^1 \rightarrow E$  such that  $S^* = T$  is weakly compact, by our assumption. It is now very easy to see that  $\{S(e_n): n \in N\}$  contains  $(x_n)$ . This concludes the proof.

In order to go ahead with our study we need the definition of (RDP) property:  $E$  is said to possess the (RDP) property if any Dunford-Pettis operator from  $E$  into an arbitrary Banach space  $F$  is weakly compact, and (RDP\*) property:  $E$  is said to possess the (RDP\*) property if any its Dunford-Pettis subset is relatively weakly compact.

REMARK 1. – As above (and in [5]) we are able to give the following characterization of Banach spaces with the (RDP\*) property:

*E has the (RDP\*) property iff each conjugate Dunford-Pettis operator from  $E^*$  into  $F^*$ ,  $F$  an arbitrary Banach space, is weakly compact.*

In the previous paper [5] we also obtained the following result: «Let  $E$  be a Banach lattice.  $E$  has the (RDP) property iff  $E^*$  has the property  $(V^*)$ ». Here we observe that the following extension is true

THEOREM 2. – *Let  $E$  be a Banach space complemented in a Banach lattice  $F$ . Then the following are equivalent:*

- (a) *E has the (RDP) property,*
- (b) *E doesn't contain complemented copies of  $l^1$ ,*
- (c)  *$E^*$  has the (RDP\*) property,*
- (d)  *$E^*$  doesn't contain copies of  $c_0$ ,*
- (e)  *$E^*$  is weakly sequentially complete,*
- (f)  *$E^*$  has property  $(V^*)$ .*

PROOF. – That (a) implies (b) and (c) is true in general Banach spaces. Assume (c) is true; it is well known ([5]) that the (RDP\*) property is inherited by closed subspaces; so, if  $c_0$  lived in  $E^*$  it should enjoy and the (RDP) property and the (RDP\*) property, which is not possible for a nonreflexive Banach space. Hence (d) is true. Assume, now, (d). Since  $E$  is complemented in  $F$ ,  $E^*$  is complemented in  $F^*$ . Hence, by a result in [11]  $E^*$  is contained in a Banach lattice  $Z$  not containing a copy of  $c_0$ , i.e. a weakly sequentially complete Banach lattice; hence (e) is true. That (e) gives (f) can be seen as the implication  $(d) \Rightarrow (e)$ , because (d), (e) and (f) are equivalent in Banach lattices (see [5]),  $(f) \Rightarrow (c)$  follows from the definitions. Since (f) implies (d), (f) implies (b), too. In order to conclude the proof, we need only to prove that (b) gives (a). Assume (b). Theorem 1.2 in [12] gives that  $E$  is complemented in a Banach lattice  $Z$  not containing complemented copies of  $l^1$ . Theorem 2.1 in [13] gives that ( $Z$  and hence)  $E$  verifies (a). The proof is complete.

The above Theorem 2 also proves that (i) of Remark 2 in [5] can be reversed in some case, even if this is not longer true in general Banach spaces ([5]). We also underline that the examples considered in the paper [5] (i.e. the James space  $J$  and the Bourgain-Delbaen space  $X$  with Schur property) prove that in general Banach spaces there is no relationship between the (RDP) property in a Banach space  $E$  and property  $(V^*)$  in its dual  $E^*$ . Indeed,  $J$  has the (RDP) property, but  $J^*$  doesn't have property  $(V^*)$ ;  $X$  doesn't possess the (RDP) property, but its dual space has property  $(V^*)$  because it is a weakly sequentially complete Banach lattice (see Theorem 1.7 of [5]). Some corollaries of Theorem 2 now follow.

**COROLLARY 3.** – *Let  $E$  be a Banach space complemented in a Banach lattice. Then if  $E^{**}$  has the (RDP) property,  $E$  itself has that property.*

**PROOF.** – Under our assumptions,  $E^{***}$  has the (RDP\*) property, hence it cannot contain a copy of  $c_0$  as well as  $E^*$  does. Theorem 2 now concludes the proof.

The above corollary has some interest since in general Banach spaces the (RDP) property is not inherited by closed subspaces; the already quoted Bourgain-Delbaen space  $X$  doesn't have the (RDP) property, whereas its bidual  $X^{**}$  is an injective Banach space; so it is complemented in a suitable  $C(K)$  and it has the (RDP) property.

**COROLLARY 4.** – *Let  $E$  be a Banach space complemented in a Banach lattice  $F$ . If  $K$  is a compact Hausdorff space, then  $C(K, E)$  has the (RDP) property iff  $E$  has.*

**PROOF.** –  $C(K, E)$  is complemented in the Banach lattice  $C(K, F)$ . By virtue of a result of Talagrand ([18])  $C(K, E)^*$  is weakly sequentially complete iff  $E^*$  is. Theorem 2, now, works.

The proof of Theorem 2 again is useful to get the following slight extension of recent results by Bombal ([1]) and Leung ([9]), who showed the equivalences  $(b') \Leftrightarrow (c') \Leftrightarrow (d')$ .

**PROPOSITION 5.** – *Let  $E$  be a Banach space complemented in a Banach lattice. Then the following are equivalent*

- (a')  $E$  has the (RDP\*) property,
- (b')  $E$  doesn't contain copies of  $c_0$ ,
- (c')  $E$  is weakly sequentially complete,
- (d')  $E$  has the property  $(V^*)$ .

Theorem 2 also admits the following partial improvement. that can be obtained using Proposition 5.

**THEOREM 6.** – *Assume  $E$  is a Banach space such that  $E^*$  is complemented in a Banach lattice. If (a)-(f) are like in Theorem 2, then  $(a) \Rightarrow (b) \Leftrightarrow (c) \Leftrightarrow (d) \Leftrightarrow (e) \Leftrightarrow (f)$ .*

Observe that any Banach space with G.L.l.u.st. ([7]) verifies the hypothesis of Theorem 6. But we cannot have  $(a) \Leftrightarrow (b)$  since again the Bourgain-Delbaen space is a counterexample. If we consider Banach spaces with l.u.st. ([3]) the following result is true (in it  $L^1$  will denote the usual Lebesgue-Bochner function space on a finite measure space).

**THEOREM 7.** – *Let  $E$  be a Banach space with l.u.st.. Assume  $E$  doesn't contain  $l_n^\infty$  uniformly for all  $n \in \mathbb{N}$ . Then  $E$  (as well as  $L^1(\mu, E)$ ) has the property  $(V^*)$ .*

PROOF. – It is known that  $E$  is contained in a Banach lattice  $F$  which is finitely represented in  $E$ . So  $F$  cannot contain copies of  $c_0$ . Theorem 1.7 of [5] gives that ( $F$  and hence)  $E$  has the property  $(V^*)$ . Moreover,  $L^1(\mu, E)$  is a closed subspace of the Banach lattice  $L^1(\mu, F)$  that enjoys the property  $(V^*)$  ([5]).

### 3. – The property $(V^*)$ in spaces of compact operators.

The last part of the note is devoted to the study of the property  $(V^*)$  in the space  $K_{w^*}(E^*, F)$  of weak\*-weakly continuous compact operators from  $E^*$  into  $F$ ,  $E, F$  two arbitrary Banach spaces.

THEOREM 8. –  $K_{w^*}(E^*, F)$  has the property  $(V^*)$  iff it is weakly sequentially complete and  $E$  and  $F$  have the same property.

PROOF. – One implication is trivial. Hence assume  $K_{w^*}(E^*, F)$  is weakly sequentially complete and  $E$  and  $F$  have property  $(V^*)$ . Take a  $(V^*)$  set  $M$  in  $K_{w^*}(E^*, F)$ ; we may assume  $M = (h_n)$ . We want to show that a suitable subsequence of  $(h_n)$  will converge weakly. Observe that  $\overline{\text{span}}\{h_n(x^*): x^* \in E^*, n \in \mathbb{N}\}$  is a separable closed subspace of  $F$ ; so we may suppose (and we do) that  $F$  is separable. Now, there is a countable set  $Y \subset F^*$  such that  $\text{span}(Y)$  is  $w^*$ -dense in  $F^*$ . For each  $y^* \in Y$  we get a  $(V^*)$  set,  $\{h_n^*(y^*): n \in \mathbb{N}\}$  in  $E$ , that has the property  $(V^*)$ . Hence there is a subsequence, say  $(h_{k(n)})$ , so that  $(h_{k(n)}^*(y^*))$  converges weakly; since  $Y$  is countable, we may assume that  $(h_{k(n)}^*(y^*))$  is weakly Cauchy for each  $y^* \in Y$ . Taking  $x^* \in E^*$  we consider, now,  $(h_{k(n)}(x^*))$  that is a  $(V^*)$  set in  $F$  and hence is relatively weakly compact. We shall show that any weakly converging subsequence has to converge to the same element; this will imply that, actually, the sequence  $(h_{k(n)}(x^*))$  converges weakly in  $F$ . Assume that  $y'$  is the limit of a suitable subsequence  $(h_{p(n)}(x^*))$ ; for  $y^* \in Y$  we have

$$y^*(y') = \lim_n y^*(h_{p(n)}(x^*)) = \lim_n h_{p(n)}^*(y^*)(x^*) = \lim_n h_n^*(y^*)(x^*) = \lim_n y^*(h_n(x^*)).$$

If  $y''$  is another (sequential) cluster point of  $(h_{k(n)}(x^*))$ , as above, we get

$$y^*(y'') = \lim_n y^*(h_n(x^*)).$$

From the above equalities we have

$$y^*(y') = y^*(y'') \quad \text{for all } y^* \in Y.$$

But  $Y$  is  $w^*$ -dense in  $F^*$  and so  $y' = y''$ . Hence  $(h_n(x^*))$  is weakly converging in  $F$ , for all  $x^* \in E^*$ . If we take  $y^* \in F^*$ ,  $\|y^*\| \leq 1$ , the real sequence is thus converging. Now, observe that (see [15])  $\text{ext}(\text{dual unit ball of } K_{w^*}(E^*, F)) = \text{ext}(\text{dual unit ball of } E) \otimes \text{ext}(\text{dual unit ball of } F)$ . By the Rainwater Theorem ([2],

p. 156)  $(h_n)$  is a weak Cauchy sequence. The weak completeness of the considered space  $K_{w^*}(E^*, F)$  concludes the proof.

REMARK 2. – Observe that in [1] the weak property  $(V^*)$  was defined: a Banach space has the weak property  $(V^*)$  if any its  $(V^*)$  set is conditionally weakly compact. Actually, the proof of Theorem 8 shows that if  $E$  has the property  $(V^*)$  and  $F$  the weak property  $(V^*)$ , then  $K_{w^*}(E^*, F)$  has the weak property  $(V^*)$ .

It is known that if  $E$  and  $F$  are weakly sequentially complete and  $E$  (or  $F$ ) has unconditional basis, then  $K_{w^*}(E^*, F)$  is weakly sequentially complete ([10]), provided any operator from  $E^*$  into  $F$  is compact. Furthermore, note that the same is true if  $F$  has just the Schur property (i.e. weak Cauchy sequences are norm converging). Here is a proof: let  $(h_n)$  be a weak Cauchy sequence in  $K_{w^*}(E^*, F)$ . Since  $E$  and  $F$  are weakly sequentially complete, we can define  $u: E^* \rightarrow F$  by  $u(x^*) = w - \lim_n h_n(x^*)$  and also  $v: F^* \rightarrow E$  by  $v(y^*) = w - \lim_n h_n^*(y^*)$ . It is easy to see that  $u^* = v$  a fact that implies that  $u$  is weak\*-weakly continuous. Since  $F$  has the Schur property,  $u$  is compact, too. Since for  $x^* \otimes y^* \in E^* \otimes F^*$  we have

$$\lim_n h_n(x^* \otimes y^*) = u(x^* \otimes y^*);$$

hence  $h_n \rightarrow u$  weakly.

Observe that  $l^1$  is a Banach space with and Schur property and property  $(V^*)$ ; but also the spaces constructed by HAGLER ([8]) and TALAGRAND ([17]) have duals enjoying both of these properties without being isomorphic to  $l^1$ .

Let  $(S, \Sigma, \mu)$  be a finite measure space and  $E$  be a Banach space. We consider the normed space  $P_c(\mu, E)$  of all (classes of weakly equivalent) weakly measurable Pettis integrable functions having an indefinite integral with compact range, equipped with the norm

$$\|f\| = \sup \left\{ \int_S |x^* f(s)| d\mu: x^* \in E^*, \|x^*\| \leq 1 \right\}.$$

It is well known that it is not complete. We have the following result concerning the completion  $\overline{P_c(\mu, E)}$  of  $P_c(\mu, E)$ .

THEOREM 9. – *The completion  $\overline{P_c(\mu, E)}$  of  $P_c(\mu, E)$  is isometrically isomorphic to a closed subspace of  $K_{w^*}(E^*, L^1(\mu))$ .*

PROOF. – It will suffice to show that  $P_c(\mu, E)$  is isometrically isomorphic to a subspace of  $K_{w^*}(E^*, L^1(\mu))$ . For any  $f \in P_c(\mu, E)$  define an operator  $T_f: E^* \rightarrow L^1(\mu)$  by  $T_f(x^*) = x^* f$ . It is well-known that  $T_f$  is weak\*-norm continuous ([4]) and hence it is an element of  $K_{w^*}(E^*, L^1(\mu))$ . It is quite simple to show that the mapping  $f \rightarrow T_f$  is one-to-one and  $\|f\| = \|T_f\|$ . The proof is over.

Now, we can apply Theorem 8 to  $\overline{P_c(\mu, E)}$  by using Theorem 9.

**THEOREM 9.** –  $\overline{P_c(\mu, E)}$  has the property  $(V^*)$  iff it is weakly sequentially complete and  $E$  has the same property. In particular this happens if either

(a)  $E$  has an unconditional basis, property  $(V^*)$  and any operator from  $E^*$  into  $L^1(\mu)$  is compact,

(b)  $E$  has the Schur property and property  $(V^*)$ .

**Notes added.**

A. PELCZYNSKI ([14]) showed that if  $E$  has the property  $(V)$ , then  $E^*$  has property  $(V^*)$  and put the question of whether the converse implication is true. In [5] we proved that this question has a negative answer, even in Banach lattices. Here we present a result showing that question can be answered positively in the class of closed subspaces of a Banach lattice with order continuous norm; actually we shall prove more, since the result we state involves other isomorphic properties. In the paper «A. GROTHENDIECK: *Sur les applications linéaires faiblement compactes d'espaces du type  $C(K)$* , Canad. J. Math., 5 (1953), pp. 129-173» the author introduced the  $(D)$  property: a Banach space  $E$  has the  $(D)$  property if each weakly completely continuous operator defined on  $E$  is weakly compact. We have the following result which involves a lot of isomorphic properties and establishes relationships among them in a special class of Banach spaces. We omit the proof, because it is a quite easy consequence of the definitions and a well known result by L. Tzafriri, stating that a closed subspace of an order continuous Banach lattice contains a complemented copy of  $l^1$ , provided it contains a copy of  $l^1$  (see L. TZAFRIRI: *Reflexivity in Banach lattices and their subspaces*, J. Funct. Analysis, 10 (1972), pp. 1-18).

**THEOREM.** – Let  $E$  be a closed subspace of an order continuous Banach lattice. Then the following facts are equivalent:

- (i)  $E^*$  has the property  $(V^*)$ ,
- (ii)  $E^*$  is weakly sequentially complete,
- (iii)  $E^*$  has the  $(RDP)^*$  property,
- (iv)  $E^*$  doesn't contain copies of  $c_0$ ,
- (v)  $E$  doesn't contain copies of  $l^1$ ,
- (vi)  $E$  has the  $(D)$  property,
- (vii)  $E$  has the  $(RDP)$  property,
- (viii)  $E$  has the property  $(V)$ .

The following corollary of the above theorem seems worth being pointed out

**COROLLARY.** – Let  $E$  be a closed subspace of an order continuous Banach lattice. If  $E^{**}$  doesn't contain a complemented copy of  $l^1$ , then  $E$  has the property  $(V)$ .

PROOF. – If  $E^{**}$  is like above, then (iv) of Theorem is true, because  $c_0$  doesn't embed into  $E^{***}$ . The Theorem concludes.

We note that if  $E^{**}$  had property (V), it couldn't contain complemented copies of  $l^1$ ; hence,  $E$  would inherit that property from  $E^{**}$ . This fact is not true for general Banach spaces, because of the separable  $\mathcal{L}_\infty$ -space constructed in «J. BOURGAIN - F. DELBAEN: *A special class of  $\mathcal{L}_\infty$ -spaces*, Acta Math., 145 (1980), pp. 155-176»; indeed, such a space has the Schur property and hence cannot possess the property (V), but its bidual is complemented in a  $C(K)$  space and so it has the property (V).

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